

Tab K. Rosenfeld (TR-9212)
Steven M. Kaplan (SK-4228)
ROSENFELD & KAPLAN, LLP.
535 Fifth Avenue
New York, New York 10017
(212) 682-1400
Attorneys for Defendants Lockwood
Pension Services, Inc. and Tall Tree
Advisors, Inc. and Third-Party
Defendant Steven Lockwood

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

-----X
ALICE KRAMER, as Personal Representative of
the Estate of Arthur Kramer,

Plaintiff,

- against -

LOCKWOOD PENSION SERVICES, INC., TALL
TREE ADVISORS, INC., LIFE PRODUCTS
CLEARING, LLC, TRANSAMERICA
OCCIDENTAL LIFE INSURANCE CO., PHOENIX
LIFE INSURANCE CO., LINCOLN LIFE &
ANNUITY CO. OF NEW YORK, AND
JONATHAN S. BERCK,

Defendants.

-----X
PHOENIX LIFE INSURANCE CO.,

Third-Party Plaintiff,

- against -

STEVEN LOCKWOOD,

Third-Party Defendant.
-----X

Case No. 08 CV 2429
(DAB)(MHD)

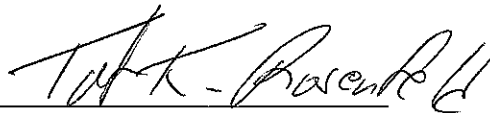
NOTICE OF APPEARANCE

PLEASE TAKE NOTICE, that the undersigned attorneys hereby appear as attorneys of
record for Defendants Lockwood Pension Services, Inc. and Tall Tree Advisors, Inc. and Third-

Party Defendant Steven Lockwood and request that copies of all papers in this action be served upon them at the office and address set forth below.

Dated: New York, New York
May 19, 2008

ROSENFELD & KAPLAN, LLP.

By: 
Tab K. Rosenfeld (TK-9212)
Steven M. Kaplan (SK-4228)

535 Fifth Avenue, Suite 1006
New York, New York 10017
(212) 682-1400

Attorneys for Defendants Lockwood
Pension Services, Inc., Tall Tree
Advisors, Inc. and Third- Party
Defendant Steven Lockwood